

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Elliott D. Light and James C. P. Lum

Serial No.: 09/552,088

Group Art Unit:

Filed: 04/19/00

Examiner:

For: **A Method and Apparatus for Data Recipient Storage and Retrieval of Data Using a Network Communication Device**

Assistant Commissioner for Patents
OFFICE OF FINANCE
Washington, D.C. 20231

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Dear Sir:

Enclosed please find the following:

1. Request for Refund;
2. Copy of Original small entity document(s) filed 04/19/00;
3. Copy of Monthly Statement of Deposit Account dated 05/31/00; and
4. Certificate of Express Mailing.

The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to Deposit Account No. 18-1579. The Commissioner is also authorized to charge Deposit Account No. 18-1579 for any future fees connected in any way to this application. Two copies of this letter are enclosed.

Respectfully submitted,



Jon L. Roberts, Esq.
Registration No. 31,293
Roberts Abokhair & Mardula, LLC
11800 Sunrise Valley Drive, Suite 1000
Reston, VA 20191-5302
(703) 391-2900

2000 JUN 26 PM 1:17

June 22, 2000

RECEIPT ACCOUNTING
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Atty. Docket No. 2249

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Elliott D. Light and James C. P. Lum

Serial No.: 09/552,088 Group Art Unit:

Filed: 04/19/00 Examiner:

**For: A Method and Apparatus for Data Recipient Storage and
Retrieval of Data Using a Network Communication Device**

Assistant Commissioner for Patents
OFFICE OF FINANCE
Washington, D.C. 20231

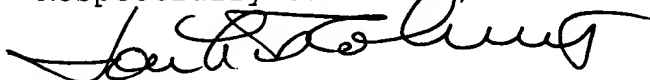
REQUEST FOR REFUND

Dear Sir:

Petitioner hereby requests a refund in the amount of \$78.00 charged to Deposit Account No. 18-1579 for large entity status in connection with the above referenced application. The applicant has now filed the missing parts to the patent application, including verified statements claiming small entity. A copy of the filing of the missing parts is attached hereto as Exhibit A. These documents were filed on 04/19/00.

Accordingly, Petitioner requests a refund in the amount of \$78.00 which was charged to Deposit Account No. 18-1579. A copy of the monthly statement of deposit account is attached hereto as Exhibit B, showing the \$78.00 charge on entries number 09552088.

Respectfully submitted,



Jon L. Roberts, Esq.
Attorney for Applicant
Registration No. 31,293
Roberts Abokhair & Mardula, LLC
11800 Sunrise Valley Drive, Suite 1000
Reston, VA 20191-5302
(703) 391-2900

June 22, 2000

Atty. Docket No. 2249

CERTIFICATE OF EXPRESS MAILING

Express Mail Mailing Label Number EL634799997US

Date of Deposit: June 22, 2000

I hereby certify that the request for refund of Elliott D. Light and James C. P. Lum for **A Method and Apparatus for Data Recipient Storage and Retrieval of Data Using a Network Communication Device**; copy of small entity document(s); and copy of Monthly Statement of Deposit Account are being deposited with the United States Postal Service for "Express Mail" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Box Office of Finance, Washington, D.C. 20231.



Jon L. Roberts, Esq.
Registration No. 31,293
Roberts Abokhair & Mardula, LLC
11800 Sunrise Valley Drive, Suite 1000
Reston, VA 20191-5302
(703) 391-2900

June 22, 2000

Atty. Docket No. 2249



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11800 SUNRISE VALLEY DR
STE 1000
RESTON VA 20191-5302**

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TO: (PLEASE PRINT)

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*Asst. Commissioner for Patents
Box Patent Application
Washington, SC 20231*

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UNITED STATES DEPARTMENT OF COMMERCE
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MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

To replenish your Deposit Account, detach and
return top portion with your check. Make check
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Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

Account No.	181579
Date	5-31-00
Page	1

ROBERTS ABOKHAIR & MARDULA, LLC
ATTN: JON L. ROBERTS / JANET M. O'DEA
11800 SUNRISE VALLEY DRIVE
SUITE 1000
RESTON VA 22182-3818

FINA

PLEASE SEND REMITTANCES TO:
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Chicago, Ill. 60673

DATE POSTED			CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
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5	1	00	14	09552088	CYBERCASH	102	78.00	795.00
5	1	00	16	09552088		581	40.00	755.00
5	1	00	129	PCT/US00/03294	KEK	704	-354.00	1109.00
5	4	00	18	09557431		101	234.00	875.00
5	4	00	20	09557431	PREVIEW	102	78.00	797.00
5	4	00	21	09557431		103	144.00	653.00
5	4	00	109	09506203	SOMMERVILLE 2465	101	-111.00	764.00
5	5	00	185	09559778	CYBERCASH	581	40.00	724.00
5	16	00	44	09516645	BIO SIG 2612-001	101	-345.00	1069.00
5	22	00	339	PCT/US00/00777	MOUSE - ATUAK	704	-170.00	1239.00
5	25	00	14	PAYMENT		701	-3000.00	4239.00
5	26	00	15	09483397	JET-ASPIRATOR	704	-345.00	4584.00
				OPENING BALANCE				873.00
				TOTAL CHARGES				614.00
				TOTAL CREDITS				4325.00
				CLOSING BALANCE				4584.00

AN AMOUNT SUFFICIENT TO
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*** O.D. INDICATES OVERDRAWN

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b))—INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant or Patentee: Elliott D. Light and James C. P. LumSerial or Patent No.: Not Yet IssuedFiled or Issued: HerewithTitle: A Method and Apparatus for Data Recipient Storage and Retrieval of Data
Using a Network Communication Device

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under convey or law to assign, grant, convey or license any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that had made the invention, or to any concern which would not qualify as a business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(c).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.
☒ Each such person, concern or organization is listed below.

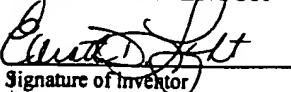
CyberCash, Inc.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

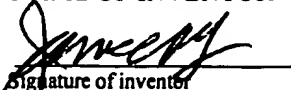
I hereby declare that all statements made herein of my own knowledge are true and that all statements made an information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Elliott D. Light
NAME OF INVENTOR


Signature of inventor

4/14/2000
Date

James C. P. Lum
NAME OF INVENTOR


Signature of inventor

4/17/2000
Date

NAME OF INVENTOR

Signature of inventor

Date

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Docket Number (Optional)

Applicant or Patentee: Elliott D. Light and James C. P. LumSerial or Patent No.: Not Yet IssuedFiled or Issued: HerewithTitle: A Method and Apparatus for Data Recipient Storage and Retrieval of Data Using a Network Communication Device

I hereby declare that I am

☐ the owner of the small business concern identified below:☒ an official of the small business concern empowered to act on behalf of the concern identified below:NAME OF SMALL BUSINESS CONCERN Cyber Cash, Inc.ADDRESS OF SMALL BUSINESS CONCERN 2100 Reston Parkway, Suite 400, Reston, VA, 20191

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

☐ the specification filed herewith with title as listed above.☒ the application identified above.☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

☐ no such person, concern or organization exists.☒ each such person, concern or organization is listed below.CyberCash, Inc.

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlements to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.289(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING John H. KarnesTITLE OF PERSON IF OTHER THAN OWNER General CounselADDRESS OF PERSON SIGNING 2100 Reston Parkway, Suite 400, Reston, VA, 20191SIGNATURE [Signature] 4/17/00 DATE